

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	
	<b>First Named Inventor</b>	Gick - Djien
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09 / 554,463
	<b>Filing Date</b>	02/19/2000
	<b>Art Unit</b>	3616
	<b>Examiner Name</b>	Dannz Draper

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Anti-submarining seat-belt assembly

(Title of the Invention)

the specification of which

☒ is attached hereto

or and

☒ was filed on (MM/DD/YYYY)

02/19/2000

as United States Application Number or PCT International

Application Number

DE 98/03270

and was amended on (MM/DD/YYYY)

10/02/03

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

GA4

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input type="checkbox"/>		Customer Number or Bar Code Label <input type="text"/>		OR <input checked="" type="checkbox"/> Correspondence address below	
Name <u>Giok Djien Go</u>					
Address <u>Pfahlgrabenstraße 45</u>					
City <u>Idstein</u>		State <u>Hessen</u>		ZIP <u>D-65510</u>	
Country <u></u>		Telephone <u>+49 6216 8949</u>		Fax <u>ditto</u>	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any)) <u>Giok Djien</u>		Family Name or Surname <u>Go</u>			
Inventor's Signature <u><i>Giok Djien Go</i></u>		Date <u></u>			
Residence: City <u>Idstein</u>		State <u>Hessen</u>		Country <u>Germany</u> Citizenship <u>German</u>	
Mailing Address <u></u>		<u>Dr.-Ing. Giok Djien Go</u> <u>Pfahlgrabenstr. 45</u> <u>D-65510 Idstein</u> <u>Germany</u>			
City <u></u>		State <u></u>		Country <u></u>	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any)) <u></u>		Family Name or Surname <u></u>			
Inventor's Signature <u></u>		Date <u></u>			
Residence: City <u></u>		State <u></u>		Country <u></u> Citizenship <u></u>	
Mailing Address <u></u>		<u></u>			
City <u></u>		State <u></u>		ZIP <u></u> Country <u></u>	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

Please type a plus sign (+) inside this box → ☐

Approved for use through 9/30/98. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	Giok Djien Go							
Address	Pfahlgrabenstr 45							
Address								
City	Idstein			State	Hessen		ZIP	65510
Country	Germany		Telephone	+49 6126 8949		Fax	+49 6126 52614	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name	Giok Djien		Middle Initial		Family Name	Go		Suffix e.g. Jr.	
Inventor's Signature	<i>Giok Djien</i>						Date	10/02/03	

Residence: City	Idstein		State		Country	Germany		Citizenship	German
Post Office Address	Pfahlgrabenstr 45								
Post Office Address	D-65510 Idstein								
City	Idstein		State		Zip	65510		Country	Germany

☐ Additional inventors are being named on supplemental sheet(s) attached hereto

Please type a plus sign (+) inside this box →

PTO/SB/01 (8-96)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION	PRIORITY DATA (Supplemental Sheet)
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[illegible]

Additional provisional applications:	
Application Number	Filing Date (MM/DD/YYYY)

Additional U.S. applications: <i>References cited</i>			
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
364,765 EP 06453 072A2  979,038 DE 4336351A1		06/12/89    11/20/1992	

GAL

PTO/SB/09 (6-95)  
 Approved for use through 07/31/96. OMB 0651-0031  
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

<b>VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS</b> <b>(37 CFR 1.9(f) &amp; 1.27(b))—INDEPENDENT INVENTOR</b>	<b>Docket Number (Optional)</b>			
<p>Applicant or Patentee: <u>Giok Djien Go</u></p> <p>Application or Patent No.: <u>PCT/DE 98163270</u></p> <p>Filed or Issued: <u>Nov 12, 1998</u></p> <p>Title: <u>Anti-submarining seat-belt assembly</u></p> <p>As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:</p> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above.</p> <p><input type="checkbox"/> the application identified above.</p> <p><input type="checkbox"/> the patent identified above.</p> <p>I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:</p> <p><input type="checkbox"/> No such person, concern, or organization exists.</p> <p><input type="checkbox"/> Each such person, concern or organization is listed below.</p> <p>Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%; vertical-align: top;"> <p><u>Giok Djien Go</u></p> <p>NAME OF INVENTOR</p> <p><u><i>Giok Djien Go</i></u></p> <p>Signature of inventor</p> <p><u>10/02/03</u></p> <p>Date</p> </td> <td style="width: 33%; vertical-align: top;"> <p>NAME OF INVENTOR</p> <p>Signature of inventor</p> <p>Date</p> </td> <td style="width: 33%; vertical-align: top;"> <p>NAME OF INVENTOR</p> <p>Signature of inventor</p> <p>Date</p> </td> </tr> </table>		<p><u>Giok Djien Go</u></p> <p>NAME OF INVENTOR</p> <p><u><i>Giok Djien Go</i></u></p> <p>Signature of inventor</p> <p><u>10/02/03</u></p> <p>Date</p>	<p>NAME OF INVENTOR</p> <p>Signature of inventor</p> <p>Date</p>	<p>NAME OF INVENTOR</p> <p>Signature of inventor</p> <p>Date</p>
<p><u>Giok Djien Go</u></p> <p>NAME OF INVENTOR</p> <p><u><i>Giok Djien Go</i></u></p> <p>Signature of inventor</p> <p><u>10/02/03</u></p> <p>Date</p>	<p>NAME OF INVENTOR</p> <p>Signature of inventor</p> <p>Date</p>	<p>NAME OF INVENTOR</p> <p>Signature of inventor</p> <p>Date</p>		

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624

Declaration of inventor

In a matter of

international application number PCT/DE 38(63270

filing date Nov 10, 1998

German Patent Doc./Appl. DE 197 49 786 A1

filing date Nov 11, 1997

I, under oath, declare of being the sole inventor and having completed all my own inventions, above-mentioned, and translated them into English as well as the US Appl. pursuant the US-Patent rules.

Go Gien Go

Signature

Dr. -Ing. Giok Djien Go  
D-65510 Idstein  
Pfahlgrabenstraße 45  
Germany

Phone/Fax +49 6126 8949

PTO/SB/10 (6-95)

Approved for use through 07/31/96. OMB 0651-0031

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN**

Docket Number (Optional)

Applicant or Patentee: Giok Djien Go  
 Application or Patent No.: PCT/DE 98/63270  
 Filed or Issued: Nov 12, 1998  
 Title: Anti-submarining seat-belt assembly

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN \_\_\_\_\_

ADDRESS OF SMALL BUSINESS CONCERN \_\_\_\_\_

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.  
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Giok Djien Go

NAME OF PERSON SIGNING \_\_\_\_\_

TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING Pfahlgrabenstr 45 D-65510 Idstein Germany

SIGNATURE \_\_\_\_\_

DATE 10/02/03

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